



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C08K 3/20</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 98/32792</b> <b>(43) International Publication Date:</b> 30 July 1998 (30.07.98)
<b>(21) International Application Number:</b> PCT/US97/07594 <b>(22) International Filing Date:</b> 6 May 1997 (06.05.97) <b>(30) Priority Data:</b> 08/790,730 27 January 1997 (27.01.97) US <b>(71) Applicant (for all designated States except US):</b> AMERON INTERNATIONAL CORPORATION [US/US]; 245 South Los Robles Avenue, Pasadena, CA 91101-2894 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> MOWRER, Norman, R. [US/US]; 1570 Coachwood Street, La Habra, CA 91631 (US). FOSCANTE, Raymond, E. [US/US]; 20547 Manzanita Avenue, Yorba Linda, CA 92686 (US). ROJAS, J., Luis [US/US]; 7916 East Woodsboro Avenue, Anaheim Hills, CA 92807 (US). <b>(74) Agent:</b> LANGTON, Grant, T.; Christie, Parker & Hale, P.O. Box 7068, Pasadena, CA 91109-7068 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> EPOXY-POLYSILOXANE POLYMER COMPOSITION  <b>(57) Abstract</b> <p>Epoxy-polysiloxane polymer compositions of this invention are prepared by combining a resin component with a hardener component. The resin component comprises a non-aromatic epoxy resin ingredient and a polysiloxane ingredient. The hardener component comprises an amine and optionally an organotin catalyst. The composition can also include aggregates, pigments, and other additives depending on the particular end use. The composition is prepared using a sufficient amount of water to promote hydrolysis of the polysiloxane and the polycondensation of the silanols produced by such hydrolysis. In its cured form, the epoxy-polysiloxane composition exists as a uniformly dispersed arrangement of linear epoxy chain fragments that are cross-linked with a continuous polysiloxane polymer chain, thereby forming a non-interpenetrating polymer network chemical structure that has substantial advantages over conventional epoxy systems. Protective coatings formed from such compositions exhibit excellent weatherability in sunlight, and superior chemical and corrosion resistance after curing.</p> <p>no epoxy resin hardener</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

1

## EPOXY-POLYSILOXANE POLYMER COMPOSITION

### 5 Field of the Invention

This invention relates to epoxy resin based compositions useful for protective coatings and the like and, more specifically, to an epoxy-polysiloxane polymer composition having improved properties of flexibility, weatherability, compressive strength and chemical resistance.

### 10 Background

Epoxy coating materials are well known and have gained commercial acceptance as protective and decorative coatings for steel, aluminum, galvanizing, wood and concrete in maintenance, marine, construction, architectural, aircraft and product finishing markets. The basic raw materials used to prepare these coatings generally comprise as essential components

15 (a) an epoxy resin, (b) a hardener and (c) a pigment or aggregate component.

Known epoxy-based coating materials often contain several components in addition to the epoxy resin, hardener and pigment/aggregate, such as nonreactive and reactive diluents including mono- and di-epoxides, plasticizer, bituminous and asphaltic extenders, adhesion promoters, suspending agents and thixotropes, surfactants, corrosion inhibitors, ultraviolet light stabilizers,

20 catalysts and rheological modifiers. Both the resin and hardener components may also contain volatile organic solvents that are used to lower the composition viscosity, thereby providing a consistency suitable for spray application with conventional air, airless and electrostatic spray equipment.

Epoxy-based protective coatings possess many properties which make them desirable as

25 coating materials. They are readily available and are easily applied by a variety of methods including spraying, rolling and brushing. They adhere well to steel, concrete and other substrates, have low moisture vapor transmission rates and act as barriers to water, chloride and sulfate ion ingress, provide excellent corrosion protection under a variety of atmospheric exposure conditions and have good resistance to many chemicals and solvents.

Epoxy-based coating materials generally do not have good resistance to weathering in

30 sunlight. While such coatings maintain their chemical and corrosion resistance, exposure to the ultraviolet light component of sunlight results in a surface degradation phenomenon known as chalking which changes both the gloss and color of the original coating. Where color and gloss retention is desired or required, epoxy-based protective coatings are typically top coated with

35 a more weatherable coating, e.g., an alkyd, vinyl or aliphatic polyurethane coating. The end result is a two or sometimes three coat system which provides corrosion resistance and weatherability, but which is also labor intensive and expensive to apply.

1

Thus, while epoxy-based coating materials have gained wide commercial acceptance, the need nevertheless remains for epoxy-based materials with improved color and gloss retention, better chemical and corrosion resistance, and improved resistance to mechanical abuse. New epoxy coating materials are needed to comply with new governmental environmental and health hazard regulations. Epoxy coating materials with improved color and gloss retention are needed wherever they may be exposed to sunlight. An epoxy coating which does not chalk and does not require a weatherable topcoat is desirable. Coating materials with improved chemical, corrosion, impact and abrasion resistance are needed for both primary and secondary chemical containment structures, for protecting steel and concrete in chemical, power generation, rail car, sewage and waste water treatment, and paper and pulp processing industries.

10

Heretofore, epoxy coatings with improved weatherability have been obtained by modification with acrylic resin or by curing inherently weatherable epoxy resins, e.g., sorbitol glycidyl ethers, hydrogenated reaction products of bisphenol A and epichlorhydrin, and more recently the epoxy-functional coetherified melamine resins from Monsanto with polyamide, cycloaliphatic amine or carboxyl functional acrylic or polyester resins. Another approach has been to use epoxidized polyester resins in combination with certain carboxyl-functional vehicles. While these coatings exhibit improved weatherability, their chemical and corrosion resistance is generally inferior to the epoxy resin based coatings previously described.

20

Therefore, it is an object of the present invention to provide an epoxy-based coating composition having improved chemical, corrosion and weathering resistance.

### Summary of the Invention

25

An epoxy-polysiloxane composition is prepared, according to principles of this invention, by combining the following ingredients:

30

- (a) a resin component based on a blend of a non-aromatic epoxy resin having at least two 1,2-epoxide groups with a polysiloxane;
- (b) a difunctional amine hardener component which may be substituted wholly or in part with an aminosilane;
- (c) an optional catalyst;
- (d) a pigment or aggregate component; and
- (e) water.

35

The epoxy-polysiloxane composition is prepared by using in the range of from about 10-60 percent by weight of the non-aromatic epoxy resin ingredient, 15 to 60 percent by weight polysiloxane, 5 to 40 percent by weight amine hardener, and up to about five percent by weight catalyst.

1

The above-identified ingredients react to form a non-interpenetrating network composition that comprises a continuous phase epoxy-polysiloxane copolymer. Epoxy-polysiloxane compositions of this invention display improved resistance to ultraviolet light and weathering in sunlight as well as improved chemical and corrosion resistance when compared to conventional epoxy resin based coatings. Additionally, epoxy-polysiloxane compositions of this invention display color and gloss retention that approaches a level exhibited by aliphatic polyurethanes and may, depending on application, obviate the need for top coating.

10

15

20

25

30

35

1

**Detailed Description**

Epoxy-polysiloxane composition are prepared, according to principles of this invention, by combining in the presence of water;

- (a) a resin component comprising a non-aromatic epoxide resin and polysiloxane;
- (b) a hardener component;
- (c) an optional organotin catalyst; and
- (d) an optional pigment and/or aggregate component.

Epoxy-polysiloxane compositions of this invention may also contain other components such as, rheological modifiers, plasticizers, thixotropic agents, antifoam agents and solvents and the like to achieve the desired properties sought by the user.

The resin component comprises a blend of epoxide resin and polysiloxane. Epoxy resins useful in forming the epoxy-polysiloxane composition are non-aromatic hydrogenated epoxy resins that contain more than one 1,2-epoxide groups per molecule. A preferred non-aromatic epoxy resin comprises two 1,2-epoxide groups per molecule. The epoxy resin is preferably in liquid rather than solid form, has an epoxide equivalent weight in the range of from about 100 to 5,000, and has a reactivity of about two.

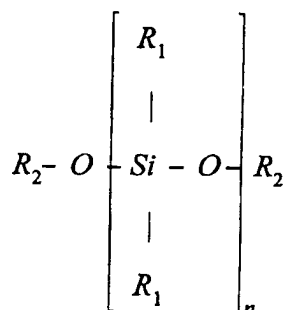
Preferred epoxy resins include non-aromatic hydrogenated cyclohexane dimethanol and diglycidyl ethers of hydrogenated Bisphenol A-type epoxide resins, such as Epon DPL-862, Eponex 1510, Heloxy 107 and Eponex 1513 (hydrogenated bisphenol A-epichlorohydrin epoxy resin) from Shell Chemical in Houston, Texas; Santolink LSE-120 from Monsanto located in Springfield, Massachusetts; Epodil 757 (cyclohexane dimethanol diglycidylether) from Pacific Anchor located in Allentown, Pennsylvania; Araldite XUGY358 and PY327 from Ciba Geigy located in Hawthorne, New York; Epirez 505 from Rhone-Poulenc located in Louisville, Kentucky; Aroflint 393 and 607 from Reichold Chemicals located in Pensacola, Florida; and ERL4221 from Union Carbide located in Tarrytown, New York. Other suitable non-aromatic epoxy resins include DER 732 and DER 736; Heloxy 67, 68, 107, 48, 84, 505 and 71 each from Shell Chemical; PolyBD-605 from Arco Chemical of Newtown Square, Pennsylvania; Erisys GE-60 from CVC Specialty Chemicals, Cherry Hill, New Jersey; and Fineclad A241 from Reichold Chemical.

Such non-aromatic hydrogenated epoxide resins are desired for their limited reactivity of about two, which promote formation of a linear epoxy polymer and prohibits formation of a cross-linked epoxy polymer. It is believed that the resulting linear epoxy polymer formed by adding the hardener to the epoxide resin is responsible for the enhanced weatherability of this composition. The use of such non-aromatic epoxide resins to form a weatherable protective coating has never before been explored because of the limited reactivity of the epoxide resin and,

therefore, the perceived inability of the resin to cure to form a protective coating.

A preferred epoxy-polysiloxane composition comprises in the range of from 10 to 60 percent by weight epoxy resin. If the composition comprises less than about 10 percent by weight epoxide resin, chemical resistance of the coating will be compromised. If the composition comprises greater than about 60 percent by weight epoxy resin, the weatherability of the coating will be compromised. A particularly preferred composition comprises approximately 25 percent by weight non-aromatic epoxy resin.

With respect to the polysiloxane used to make up the resin component, preferred polysiloxanes include, but are not limited to, those having the following formula:



where each  $R_1$  is selected from the group consisting of the hydroxy group and alkyl, aryl, and alkoxy groups having up to six carbon atoms. Each  $R_2$  is selected from the group consisting of hydrogen and alkyl and aryl groups having up to six carbon atoms. It is preferred that  $R_1$  and  $R_2$  comprise groups having less than six carbon atoms to facilitate rapid hydrolysis of the polysiloxane, which reaction is driven by the volatility of the alcohol analog product of the hydrolysis.  $R_1$  and  $R_2$  groups having greater than six carbon atoms tend to impair the hydrolysis of the polysiloxane due to the relatively low volatility of each alcohol analog.

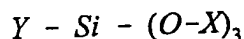
It is preferred that the "n" be selected so that the polysiloxane ingredient have a molecular weight in the range of from about 400 to about 10,000. A polysiloxane ingredient having a molecular weight of less than about 400 can produce a composition that would be brittle. A polysiloxane ingredient having a molecular weight of greater than about 10,000 can produce a composition having a viscosity outside a desired range of from about 3,000 to 15,000 centipoise (cP) at 20°C, making the composition too viscous for application without adding solvent in

1 excess of current volatile organic content (VOC) requirements.

5 Preferred polysiloxane ingredients are alkoxy- and silanol-functional polysiloxanes. Particularly preferred alkoxy-functional polysiloxanes are madhouse-functional polysiloxanes and include, but are not limited to: DC-3074 and DC-3037 from Dow Corning; GE SR191, SY-550, and SY-231 from Wacker located in Adrian, Michigan. Preferred silanol-functional polysiloxanes include, but are not limited to, Dow Corning's DC840, Z6018, Q1-2530 and 6-2230 intermediates.

10 A preferred epoxy-polysiloxane composition comprises in the range of from 15 to 60 percent by weight polysiloxane. Using an amount of the polysiloxane ingredient outside of this range can produce a composition having inferior weatherability and chemical resistance. A particularly preferred epoxy-polysiloxane composition comprises approximately 30 percent by weight polysiloxane.

15 The hardener component comprises an amine chosen from the general classes of aliphatic amines, aliphatic amine adducts, polyamidoamines, cycloaliphatic amines and cycloaliphatic amine adducts, aromatic amines, Mannich bases and ketimines. A preferred hardener component comprises a difunctional amine, i.e., an amine having two active hydrogens, which may be substituted wholly or in part with an aminosilane having the general formula:



20 where Y is  $H(HNR)_a$ , and where "a" is equal to one, each R is a difunctional organic radical independently selected from the group consisting of aryl, alkyl, dialkylaryl, alkoxyalkyl, and cycloalkyl radicals, and where R can vary within each Y molecule. Each X can be the same or different, and is limited to alkyl, hydroxalkyl, alkoxyalkyl and hydroxyalkoxyalkyl groups  
25 containing less than about six carbon atoms. At least 0.7 equivalents of amine or 0.2 moles of aminosilane per equivalent of epoxy may be present in the hardener component.

30 Preferred aminosilanes include, but are not limited to: aminoethyl aminopropyl triethoxysilane, n-phenylaminopropyl trimethoxysilane, trimethoxysilylpropyl diethylene triamine, 3-(3-aminophenoxy)propyl trimethoxy silane, amino ethyl amino methyl phenyl trimethoxy silane, 2 amino ethyl 3 aminopropyl, tris 2 ethyl hexoxysilane, n-aminoethyl aminopropyl trimethoxysilane and trisaminopropyl trimethoxy ethoxy silane.

The manufacturers and trade names of some aminosilanes useful in the present invention are listed in Table 1



Table 1 - Aminosilanes

Manufacturer	Product Designation
Dow Corning	Z6020, XI-6100, XI6150
Union Carbide	A1100, A1101, A1102, A1108, A1110, A1120 A1126, A1130, A1387, Y9632
Wacker	ED117
Hüls	A0696, A0698, A0699, A0700, A0710, A0720, A0733, A0733, A0742, A0750, A0800
PCR	12328-1

Preferred aminosilanes are difunctional silanes that include aminopropyltrimethoxysilane and aminopropyltriethoxysilane. A particularly preferred aminosilane is Union Carbide A1100. A difunctional aminosilane is desired because it has been found that the combination of an aminosilane having a reactivity of two, i.e., having only two amine hydrogens, reacts with the non-aromatic epoxy, also having a reactivity of two, to form a linear noncross-linked epoxy polymer that displays improved weatherability.

Such preferred amines and aminosilanes produce epoxy-polysiloxane compositions that, when applied as a substrate coating, exhibit superior weatherability in terms of both color and gloss retention. A preferred epoxy-polysiloxane composition comprises in the range of from 5 to 40 percent by weight amine and/or aminosilane. Using an amount of the amine and/or aminosilane ingredient outside of this range can produce a composition having inferior weatherability and chemical resistance. A particularly preferred epoxy-polysiloxane composition comprises approximately 15 percent by weight amine and/or aminosilane. Accordingly, a preferred coating composition according to practice of the present invention may comprise a weight ratio of polysiloxane to amine and/or aminosilane of approximately two to one.

In preparing epoxy-polysiloxane compositions of the present invention, the proportion of hardener component to resin component can vary over a wide range, regardless of whether the hardener is chosen from the general classes of amines, or from an aminosilane of the general formula above, or any combination thereof. In general, the epoxy resin component is cured with sufficient hardener to provide at least from about 0.7 to about 1.2 amine equivalent weight per 1 epoxide equivalent weight or with at least 0.2 moles of aminosilane

1  
5 per epoxide equivalent weight. If the amount of hardener added provides less than 0.7 amine equivalent weight per epoxide equivalent weight, the coating and flooring composition produced will exhibit a slow cure time and have inferior weatherability and chemical resistance. If the amount of hardener added provides greater than 1.2 amine equivalent weight per epoxide equivalent weight, the coating and flooring composition produced will exhibit surface blushing or greasiness.

10 Epoxy-polysiloxane compositions of this invention are formulated for application with conventional air, airless, air-assisted airless and electrostatic spray equipment, brush, or roller. The compositions are intended to be used as protective coatings for steel, galvanizing, aluminum, concrete and other substrates at dry film thicknesses in the range of from 25 micrometers to about two millimeters. Accordingly, pigment or aggregate ingredients useful in forming the composition are selected from a fine particle size material, preferably having  
15 at least 90 weight percent greater than 325 mesh U.S. sieve size.

Suitable pigments may be selected from organic and inorganic color pigments which may include titanium dioxide, carbon black, lampblack, zinc oxide, natural and synthetic red, yellow, brown and black iron oxides, toluidine and benzidine yellow, phthalocyanine blue and green, and carbazole violet, and extender pigments including ground and crystalline  
20 silica, barium sulfate, magnesium silicate, calcium silicate, mica, micaceous iron oxide, calcium carbonate, zinc powder, aluminum and aluminum silicate, gypsum, feldspar and the like. The amount of pigment that is used to form the composition is understood to vary, depending on the particular composition application, and can be zero when a clear composition is desired. A preferred epoxy-polysiloxane composition may comprise up to  
25 about 50 percent by weight fine particle size pigment and/or aggregate. Using greater than 50 percent by weight fine particle size pigment and/or aggregate ingredient can produce a composition that is too viscous for application. Depending on the particular end use, a preferred coating composition may comprise approximately 20 percent by weight fine particle size aggregate and/or pigment.

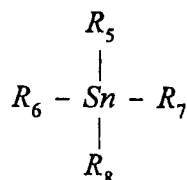
30 The pigment and/or aggregate ingredient is typically added to the epoxy resin portion of the resin component and is dispersed with a Cowles mixer to at least 3 Hegman fineness of grind, or alternatively is ball milled or sand milled to the same fineness of grind before addition of the polysiloxane ingredient. Selection of a fine particle size pigment or aggregate and dispersion or milling to about 3 Hegman grind allows for the atomization of mixed resin  
35 and cure components with conventional air, air-assisted airless, airless and electrostatic spray equipment, and provides a smooth, uniform surface appearance after application.

Water is an important ingredient of the present invention and should be present in an

1 amount sufficient to bring about both the hydrolysis of the polysiloxane and the subsequent  
 5 condensation of the silanols. The sources of water are mainly atmospheric humidity and  
 adsorbed moisture on the pigment or aggregate material. Additional water may be added to  
 accelerate cure depending on ambient conditions, such as the use of the coating and flooring  
 composition in arid environments. A preferred epoxy-polysiloxane composition comprises  
 up to a stoichiometric amount of water to facilitate hydrolysis. Compositions that are  
 prepared without added water may not contain the amount of moisture needed for the  
 10 hydrolysis and condensation reactions, and may therefore produce a composition product  
 having an insufficient degree of ultraviolet, corrosion and chemical resistance. Compositions  
 that are prepared using greater than about two percent by weight water tend to hydrolyze and  
 polymerize to form an undesirable gel before application. A particularly preferred epoxy-  
 polysiloxane composition is prepared by using approximately one percent by weight water.

15 If desired, water may be added to either the epoxide resin or polyamine hardener.  
 Other sources of water may include trace amounts present in the epoxide resin, polyamine  
 hardener, thinning solvent, or other ingredients. Water may also be incorporated by using  
 ketimines or alcohol-solvent-water mixtures as described in U.S. Pat. No. 4,250,074 herein  
 incorporated by reference. Regardless of its source, the total amount of water that is used  
 20 should be the stoichiometric amount needed to facilitate the hydrolysis reaction. Water  
 exceeding the stoichiometric amount is undesirable since excess water acts to reduce the  
 surface gloss of the finally-cured composition product.

Up to about five percent by weight catalyst may be added to the resin component, or  
 may be added as an entirely separate component, to speed drying and curing of the modified  
 25 epoxy coating and flooring materials of the present invention. Useful catalysts include metal  
 driers well known in the paint industry, e.g. zinc, manganese, zirconium, titanium, cobalt,  
 iron, lead and tin each in the form of octoates, neodecanates and naphthanates. Suitable  
 catalysts include organotin catalysts having the general formula



30 where  $R_5$  and  $R_6$  are each selected from the group consisting of alkyl, aryl, and alkoxy  
 groups having up to eleven carbon atoms, and where  $R_7$  and  $R_8$  are each selected from the  
 35 same groups as  $R_5$  and  $R_6$ , or from the group consisting of inorganic atoms such as halogens,  
 sulphur or oxygen. Dibutyl tin dilaurate, dibutyl tin diacetate, organotitanates, sodium

1  
sodium acetate, and aliphatic secondary or tertiary polyamines including propylamine,  
ethylamino ethanol, triethanolamine, triethylamine, and methyl diethanol amine may be used  
5 alone or in combination to accelerate hydrolytic polycondensation of polysiloxane and silane.  
A preferred catalyst is dibutyl tin dilaurate.

Epoxy-polysiloxane compositions of the present invention are generally low in  
viscosity and can be spray applied without the addition of a solvent. However, organic  
solvents may be added to improve atomization and application with electrostatic spray  
10 equipment or to improve flow and leveling and appearance when applied by brush, roller,  
or standard air and airless spray equipment. Exemplary solvents useful for this purpose  
include esters, ethers, alcohols, ketones, glycols and the like. The maximum amount of  
solvent added to compositions of the present invention is limited by government regulation  
under the Clean Air Act to approximately 420 grams solvent per liter of the composition.

15 Epoxy-polysiloxane compositions of the present invention may also contain rheological  
modifiers, plasticizers, antifoam agents, thixotropic agents, pigment wetting agents,  
bituminous and asphaltic extenders, antisetling agents, diluents, UV light stabilizers, air  
release agents and dispersing aids. A preferred epoxy-polysiloxane composition may  
comprise up to about ten percent by weight such modifiers and agents.

20 Epoxy-polysiloxane compositions of the present invention are supplied as a two-  
package system in moisture proof containers. One package contains the epoxy resin,  
polysiloxane, any pigment and/or aggregate ingredient, additives and solvent if desired. The  
second package contains polyamine and/or aminosilane and optionally catalysts or  
accelerating agents.

25 Epoxy-polysiloxane compositions of the present invention can be applied and fully cure  
at ambient temperature conditions in the range of from about  $-6^{\circ}\text{C}$  to  $50^{\circ}\text{C}$ . At  
temperatures below  $-18^{\circ}\text{C}$  cure is severely retarded. However, compositions of the present  
invention may be applied under bake or cure temperatures up to  $150^{\circ}\text{C}$  to  $200^{\circ}\text{C}$ .

30 While not wishing to be bound by any particular theory, it is believed that epoxy-  
polysiloxane compositions of the present invention are cured by: (1) the reaction of the epoxy  
resin with the amine and/or aminosilane hardener to form epoxy polymer chains; (2) the  
hydrolytic polycondensation of the polysiloxane ingredient to produce alcohol and  
polysiloxane polymer; and (3) the copolymerization of the epoxy polymer chains with the  
polysiloxane polymer to form a fully-cured epoxy-polysiloxane polymer composition. When  
35 an aminosilane is used to make up the hardener component, the amine moiety of the  
aminosilane undergoes the epoxy-amine addition reaction and the silane moiety of the  
aminosilane undergoes hydrolytic polycondensation with the polysiloxane. In its cured form,

1

the epoxy-polysiloxane composition exists as a uniformly dispersed arrangement of linear epoxy chain fragments that are cross-linked with a continuous polysiloxane polymer chain, thereby forming a non-interpenetrating polymer network (IPN) chemical structure that has substantial advantages over conventional epoxy systems.

5

10

When the ingredients are combined, it is believed that the silane moiety of the aminosilane ingredient condenses with the polysiloxane ingredient, and the epoxy resin undergoes chain extension with by reaction with the amino groups pendent from the polysiloxane to form a fully-cured epoxy-polysiloxane polymer composition. In such reaction it is believed that the epoxy resin functions as a cross-linking enhancer that adds to the cross-link density of the composition without diminishing the beneficial features of the polysiloxane.

15

20

In isolation, the epoxy resin reacts with the aminosilane to form epoxy polymer chain fragments, and the polysiloxane and aminosilane undergo hydrolytic polycondensation to form a polysiloxane polymer. The reaction kinetics for each polymerization are substantially different, thereby preventing IPN formation. For example, the time for polymerization of the epoxy resin is about six times that of the polymerization of the polysiloxane polymer. It is believed that the relative longer amount of time needed to polymerize the non-aromatic epoxy resin is due to the inherent unreactivity of the non-aromatic epoxy resins when compared to high reactivity of aromatic or unsaturated epoxy resins.

25

30

Ultimately, the chemical and physical properties of the epoxy-polysiloxane composition of the present invention are affected by judicious choice of epoxy resin, polysiloxane, amine and/or aminosilane hardener and pigment or aggregate components. An epoxy-polysiloxane composition that is prepared by combining a difunctional aminosilane with a non-aromatic epoxy resin displays improved resistance to caustic, is weatherable, allows infinite recoatability, provides abrasion resistance better than a polyurethane, which is completely unpredictable because siloxane polymers and epoxy polymers are known to have terrible abrasion resistance. Epoxy-polysiloxane compositions of the present invention exhibit an unexpected and surprising improvement in chemical corrosion and weathering resistance as well as high tensile and compressive strength and excellent impact and abrasion resistance.

35

These and other features of the present invention will become more apparent upon consideration of the following examples. Refer to Table 2 for a description of the ingredients used in Examples 1 through 4. In each example, the ingredients used are combined in the proportions described by weight in grams.

1

Table 2

<u>Ingredient</u>	<u>Description</u>
5 Eponex 1513	Shell epoxy resin. Eq. Wt. = 230
Epodil 757	Pacific Anchor cyclohexanedimethanol diglycidyl ether
Aroflint 607	Reichold epoxide resin
DC-3074	Dow Corning madhouse functional polysiloxane
A-1100	Carbide aminopropyl trimethoxysilane
Y-9632	Carbide proprietary aminosilane
Z6020	Dow Corning aminoethyl aminopropyl trimethoxysilane
10 ED-117	Wacker proprietary aminosilane
Euredur 3265	Schering Berlin polyamine Eq. Wt. = 400
Ancamine 1942	Pacific Anchor polyamine Eq. Wt. = 70
DCH-99%	Dupont diaminocyclohexane
Araldite R972	Ciba Geigy methylene bis dianiline Eq. Wt. = 48
Nuosperse 657	Pigment wetting agent
Tioxide RTC 60	Titanium dioxide
F-75	40 mesh silica sand
15 Crystal Silica #70	70 mesh silica sand
Silcosil 325	U.S. silica flour
Dislon 6500	King Industries thixotrope
BYK 080	BYK-Chemie defoamer

Examples:

20 Examples 1 through 4 describe the preparation of the resin component of the composition, and the combination of the pigment or aggregate material of the present invention as used for coating purposes. In each example, the types and proportions of ingredients used to make up the resin and pigment blend are slightly varied. A portion of each resin and pigment blend as prepared in each example is then combined with a various  
25 hardener components and solvents in different proportions as shown in Table 3. Each resulting epoxy-polysiloxane composition was tested for cure time, weathering resistance, corrosion resistance and chemical resistance as shown in Table 3.

EXAMPLE 1

30 A resin and pigment blend was prepared by combining 385 grams of Eponex 1513 (epoxide resin), 5 grams of Nuosperse 657 (pigment wetting agent), 5 grams of BYK 080 (antifoam agent), 10 grams of Dislon 6500 (thixotropic agent) and 338 grams of Tioxide RTC60 (titanium dioxide). The ingredients were added to a one quart can and dispersed to  
35 5 Hegman fineness of grind using an air-motor powered Cowles dissolver. This required about 20 minutes, after which time 432 grams DC-3074 (polysiloxane) was added and the combined mixture was then stirred until uniform. The resin blend had a Brookfield viscosity of approximately 10,000 cP at 70°F (20°C) and a calculated equivalent weight of 315 grams

1  
per equivalent.

5  
EXAMPLE 2

A resin and pigment blend was prepared by combining 390 grams of Epodil 757 (epoxide resin), 5 grams of Nuosperise 657 (pigment wetting agent), 5 grams of BYK 080 (antifoam agent), 10 grams of Dislon 6500 (thixotropic agent) and 338 grams of Tioxide RTC 60 (titanium dioxide). The ingredients were added to a one quart can and dispersed to 5 Hegman fineness of grind using an air-motor powered Cowles dissolver. This required about 20 minutes, after which time 432 grams DC-3074 (polysiloxane) was added and the combined mixture was stirred until uniform. The resin blend had a Brookfield viscosity of approximately 3,800 cP at 70°F (20°C) and a calculated equivalent weight of 265 grams per equivalent.

15  
EXAMPLE 3

The same ingredients and procedure used to prepare the resin and pigment blend of Example 1 was used, except that 356 grams of Aroflint 607 (epoxide resin) was used instead of 385 grams of Eponex 1513 (epoxide resin). The resin blend had a Brookfield viscosity of approximately 6,800 cP at 70°F (20°C) and a calculated equivalent weight of 338 grams per equivalent.

20  
COMPARISON EXAMPLE 4

An epoxy resin and pigment blend was prepared by combining 711 grams of Epon 828 (epoxide resin), 5 grams of Nuosperse 657 (pigment wetting agent), 5 grams of BYK 080 (antifoaming agent), 10 grams of Dislon 6500 (thixotropic agent) and 338 grams of Tioxide RTC 60 (titanium dioxide). This comparison example did not include the polysiloxane ingredient. The ingredients were added to a 1 quart can and dispersed to less than 5 Hegman fineness of grind using an air-motor powered Cowles dissolver. The mixture was thinned with 100 grams of xylene to reduce viscosity and then mixed until uniform. The resin blend had a Brookfield viscosity of approximately 12,000 cP at 70°F (20°C) and the calculated equivalent weight was 313 grams per equivalent.

30  
35  
Three hundred grams of the resin blend of Example 1 was mixed with 48 grams of Union Carbide A-1100 (aminopropyl trimethoxysilane) and 20 grams of butyl acetate (organic solvent). The mixture was then spray applied to sandblasted steel test panels using a

DeVilbiss air-atomizing spray gun. The coating dried to touch in less than one hour and was dry through in about eight hours. The coating composition displayed initial 60° gloss of 90.

The resin blends of Examples 1, 2 and 3 and Comparison Example 4 were mixed with the hardeners and solvents shown in Table 3 and applied to test panels in a similar manner.

The compositions prepared according to Table 3 were tested for curing time, weathering resistance, corrosion resistance and chemical resistance according to the following ASTM and industry test methods:

1. ASTM G53, sometimes called QUV accelerated weathering, is an accelerated test intended to simulate the deterioration of coatings caused by sunlight and water as rain or dew. Test panels are exposed to alternating ultraviolet light and condensing humidity cycles. Degradation is measured by loss of gloss or rusting and blistering of the coating.
2. ASTM B117 measures the corrosion resistance of coated panels exposed to salt spray (fog) under prescribed conditions. Panels are checked periodically and rated for blistering and rusting according to ASTM D1654. The rating test method uses a 1 to 10 scale with 10 indicating no change.
3. Chemical Resistance, Union Carbide Method C117, measures the resistance of coatings to ten different reagents. One milliliter of each reagent is placed on the test coating and covered with a watch glass. After 24 hours, the reagents are removed and any change is rated on a scale of 1 to 10 with 10 indicating no change, 8 indicating some change, 6 indicating major change, 4 indicating partial failure and 2 indicating complete failure.



Table 3

Epoxy-polysiloxane Composition (coating)

	Weight (grams)						
Example 1	300	300	300	300	-	-	-
Example 2	-	-	-	-	300	-	-
Example 3	-	-	-	-	-	300	-
Comparison Example 4	-	-	-	-	-	-	300
butyl acetate	20	20	20	20	15	20	25
A1100	48.3	-	-	-	57.9	-	-
ED-117	-	54.9	-	-	-	-	-
Y-9632	-	-	48.0	-	-	45.0	-
DCH-99%	-	-	-	15.0	-	-	-
Versamid 125	-	-	-	-	-	-	86.3

Test Results

Dry film thickness (mm)	6	6	6	6	6	6	6
Dry to touch (hours)	1	1	1.2	1.5	1.5	1	1.5
Dry through (hours)	8	6	10	16	16	12	20
QUV Accelerated Weathering							
60° gloss -initial	90	91	90	86	75	22	65
-1 day	-	91	91	65	-	-	3
-7 days	52	90	66	48	58	13	1
-21 days	-	75	36	-	-	-	-
Salt Fog - (1000 hours)							
-blistering	10	10	-	-	-	-	10
-rusting	10	10	-	-	-	-	8
Chemical Resistance							
-NaOH (50%)	10	10	-	-	-	-	10
-HCl (conc.)	10	10	-	-	-	-	8
-H <sub>2</sub> SO <sub>4</sub> (conc.)	10	10	-	-	-	-	4
-phenol	8	8	-	-	-	-	4
-H <sub>3</sub> PO <sub>4</sub> (conc.)	10	10	-	-	-	-	6
-NH <sub>4</sub> OH	10	10	-	-	-	-	10
-ethanol	10	10	-	-	-	-	10
-acetic acid(conc.)	8	8	-	-	-	-	4
-cumene	10	10	-	-	-	-	10
-acetone	10	10	-	-	-	-	10

Gloss retention in QUV accelerated weathering, salt fog testing and chemical spot tests clearly show that coatings formed from epoxy-polysiloxane compositions of the present invention have improved chemical, corrosion and weathering resistance when compared to conventional epoxy-based coatings compositions.

Although epoxy-polysiloxane compositions of the present invention have been

1

described with considerable detail with reference to certain preferred variations thereof, other variations are possible. Therefore, the spirit and scope of the appended claims should not be limited to the preferred variations described herein.

5

10

15

20

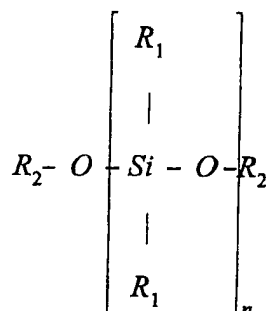
25

30

35

What is Claimed is:

1. An epoxy-polysiloxane polymer composition prepared by combining:  
water;  
a polysiloxane having the formula



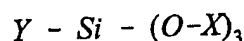
where each  $R_1$  is selected from the group consisting of the hydroxy group and alkyl, aryl and alkoxy groups having up to six carbon atoms, each  $R_2$  is selected from the group consisting of hydrogen and alkyl and aryl groups having up to six carbon atoms and, wherein  $n$  is selected so that the molecular weight for the polysiloxane is in the range of from about 400 to 10,000;

a difunctional aminosilane hardener component that condenses through its silane groups with the polysiloxane; and

a non-aromatic epoxy resin having more than one 1,2-epoxide groups per molecule with an epoxide equivalent weight in the range of from 100 to about 5,000 that undergoes chain extension by reaction with the amine groups in the polysiloxane to form a fully cured non-interpenetrating polymer network epoxy-polysiloxane polymer.

2. The composition as recited in claim 1 wherein the non-aromatic epoxide resin is selected from the group of cycloaliphatic epoxide resins consisting of hydrogenated cyclohexane dimethanol and diglycidyl ethers of hydrogenated Bisphenol A epoxide resins.

1  
3. The composition as recited in claim 1 wherein the difunctional aminosilane has the general formula



5 where Y is  $H(HNR)_a$  and where a is one, R is a difunctional organic radical independently selected from the group consisting of aryl, alkyl, dialkylaryl, alkoxyalkyl, and cycloalkyl radicals, and where X is limited to alkyl, hydroxalkyl, alkoxyalkyl or hydroxyalkoxyalkyl groups containing less than about six carbon atoms.

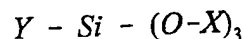
10 4. The composition as recited in claim 1 wherein the composition additionally comprises at least one metal catalyst to facilitate cure at ambient temperature, wherein the catalyst is selected from the group consisting of zinc, manganese, zirconium, titanium, cobalt, iron, lead, and tin each in the form of octonates, neodecanates, or naphthanates.

15 5. The composition as recited in claim 1 comprising at least one additional ingredient selected from the group consisting of rheological modifiers, plasticizers, antifoam agents, thixotropic agents, pigment wetting agents, bituminous and asphaltic extenders, antisetling agents, diluents, UV light stabilizers, air release agents, dispersing aids, and mixtures thereof.

20 6. The composition as recited in claim 1 further comprising a pigment or aggregate material having a fine particle size selected from the group consisting of organic and inorganic color pigments, at least 90 percent by weight of the pigment being greater than 325 mesh U.S. sieve size.

25 7. The composition as recited in claim 1 comprising in the range of from about 10 to 60 percent by weight epoxy resin, 15 to 60 percent by weight polysiloxane, and 5 to 40 percent by weight aminosilane hardener based on the total weight of the composition.

30 8. An epoxy-polysiloxane polymer composition prepared by combining:  
a polysiloxane selected from the group consisting of alkoxy- and silanol-functional polysiloxanes having a molecular weight in the range of from about 400 to 10,000;  
a difunctional aminosilane hardener component that condenses through its silane groups with the polysiloxane having the general formula



35 where Y is  $H(HNR)_a$  and where a is one, R is a difunctional organic radical independently

selected from the group consisting of aryl, alkyl, dialkylaryl, alkoxyalkyl, and cycloalkyl radicals, and where X is limited to alkyl, hydroxalkyl, alkoxyalkyl or hydroxyalkoxyalkyl groups containing less than about six carbon atoms;

a non-aromatic epoxy resin that undergoes chain extension by reaction with the amine groups in the polysiloxane to form a fully-cured epoxy-polysiloxane polymer;

an organotin catalyst; and

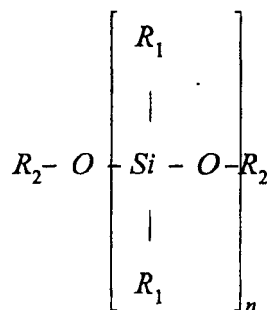
a sufficient amount of water to facilitate hydrolysis and polycondensation to form a fully-cured polymer composition at ambient temperature.

9. The composition as recited in claim 8 comprising in the range of from about 10 to 60 percent by weight epoxy resin based on the total weight of the composition, wherein the epoxy resin contains more than one 1,2-epoxide groups per molecule and has an epoxide equivalent weight in the range of from 100 to 5,000.

10. The composition as recited in claim 9 wherein the epoxy resin is selected from the group of cycloaliphatic epoxy resins consisting of hydrogenated cyclohexane dimethanol and diglycidyl ethers of hydrogenated Bisphenol A epoxide resins.

11. The composition as recited in claim 8 comprising in the range of from 15 to 60 percent by weight polysiloxane based on the total weight of the composition, wherein the polysiloxane has the formula

where each  $R_1$  is selected from the group consisting of the hydroxy group and alkyl, aryl and



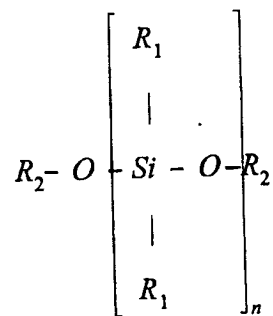
alkoxy groups having up to six carbon atoms, each  $R_2$  is selected from the group consisting

1 of hydrogen and alkyl and aryl groups having up to six carbon atoms and, wherein n is selected so that the molecular weight for the polysiloxane is greater than about 400.

5 12. The composition as recited in claim 8 further comprising additives up to approximately ten percent by weight of the total composition, wherein the additives are selected from the group consisting of flow modifiers, rheological modifiers, plasticizers, antifoam agents, thixotropic agents, pigment wetting agents, bituminous and asphaltic  
10 extenders, antisetling agents, diluents, UV light stabilizers, air release agents, and dispersing aids.

13. The composition as recited in claim 8 further comprising a fine particle size pigment or aggregate material selected from the group consisting of organic and inorganic  
15 color pigments, wherein the aggregate material comprises at least 90 percent by weight aggregate having a U.S. mesh size greater than 325 based on the total weight of the aggregate material.

14. A non-interpenetrating polymer network epoxy-polysiloxane polymer  
20 composition prepared by combining:  
water;  
a polysiloxane having the formula



35 where each  $R_1$  is selected from the group consisting of the hydroxy group and alkyl, aryl and alkoxy groups having up to six carbon atoms, each  $R_2$  is selected from the group consisting

1 of hydrogen and alkyl and aryl groups having up to six carbon atoms and, wherein n is  
5 selected so that the molecular weight for the polysiloxane is in the range of from about 400  
to 10,000;

a difunctional aminosilane hardener component that condenses through its silane  
groups with the polysiloxane and has the general formula



10 where Y is  $H(HNR)_a$  and where a is one, R is a difunctional organic radical independently  
selected from the group consisting of aryl, alkyl, dialkylaryl, alkoxyalkyl, and cycloalkyl  
radicals, and where X is limited to alkyl, hydroxalkyl, alkoxyalkyl or hydroxyalkoxyalkyl  
groups containing less than about six carbon atoms; and

15 a non-aromatic epoxy resin having more than one 1,2-epoxide groups per molecule  
with an epoxide equivalent weight in the range of from 100 to about 5,000 that undergoes  
chain extension by reaction with the amine groups in the polysiloxane to form a fully-cured  
non-interpenetrating polymer network epoxy-polysiloxane polymer.

15. A method for making a fully-cured thermosetting epoxy-polysiloxane polymer  
composition comprising the steps of:

20 forming a resin component by combining:

a non-aromatic epoxy resin;

a polysiloxane selected from the group consisting of alkoxy- and silanol-  
functional polysiloxanes having a molecular weight in the range of from 400 to  
10,000; with

25 water; and

curing the resin component at ambient temperature by adding thereto:

30 an aminosilane with two active hydrogens that condenses through its silane  
groups with the polysiloxane, whereby the non-aromatic epoxide resin undergoes  
chain extension by reaction with the amine groups in the polysiloxane to form a fully-  
cured epoxy-polysiloxane polymer; and

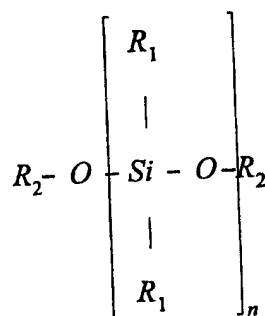
an organotin catalyst.

16. A method as recited in claim 15 wherein during the step of forming the resin  
35 component one or more ingredient is added that is selected from the group consisting of  
pigments, aggregates, flow modifiers, rheological modifiers, plasticizers, antifoam agents,  
thixotropic agents, pigment wetting agents, bituminous and asphaltic extenders, antisetling  
agents, diluents, UV light stabilizers, air release agents and dispersing aids.

17. A method for making a fully-cured epoxy-polysiloxane polymer composition comprising the steps of:

forming a resin component by combining:

a polysiloxane having the formula



where each  $R_1$  is selected from the group consisting of the hydroxy group and alkyl, aryl and alkoxy groups having up to six carbon atoms, each  $R_2$  is selected from the group consisting of hydrogen and alkyl and aryl groups having up to six carbon atoms and, wherein  $n$  is selected so that the molecular weight for the polysiloxane is in the range of from about 400 to 10,000;

a non-aromatic epoxy resin having more than one 1,2-epoxide groups per molecule with an epoxide equivalent weight in the range of from 100 to about 5,000; and

water;

curing the resin component at an ambient temperature by adding to it:

an organotin catalyst; and

an aminosilane with two active hydrogens that condenses through its silane groups with the polysiloxane, whereby the epoxy resin undergoes chain extension by reaction with the amine groups in the polysiloxane to form a fully-cured epoxy-polysiloxane polymer.



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/07594

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C08K 3/20

US CL : 523/425, 421

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 523/425, 421

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4,851,481 A (KURIYAMA et al.) 25 July 1989, see entire document.	1-17

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

29 SEPTEMBER 1997

Date of mailing of the international search report

15 OCT 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

MARGARET GLASS

Telephone No. (703) 308-2351

